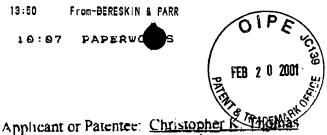
P. 05

02-19-01



Attorney's Docket No. 12026-2

Serial or Patent No.: 09/695,059 Filed or Issued: October 25, 2000

For: Method for Detecting Aberrant Behavior of a Financial Instrument

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(1) and 1.27 (c)) - SMALL BUSINESS CONCERN

## I hereby declare that I am

(x)	the owner of the small business concern identified below.
i i	an official of the small business concern empowered to act on behalf of
	lentified below

NAME OF CONCERN Measuredmarkets Inc. ADDRESS OF CONCERN 5230 Lakeshore Road. RR #3, Port Hope, Ontario 1.1 A. 3 V 7

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled Method for Detecting Aberrant Behavior of a Financial Instrument by inventor Christopher Thomas described in

	the specification filed herewith			
[x]	application seria	1 no. 09/695,059, filed October	7 25, 2000	
וֹוֹ	patent no.	, issued:		

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 19(a), or a nonprotit organization under 37 CFR 19(e). \*NOTE Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1 27)

NAME	ADDRESS		
[] INDIVIDUAL ORGANIZATION	[] SMALL BUSINESS CONCERN	[] NONPROFIT	
NAME () INDIVIDUAL	ADI [] SMALL BUSINESS CONCERN	DRESS [] NONPROFIT	
ORGANIZATION	£4		

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Christopher K. Thomas
TITLE OF PERSON OTHER THAN OWNER President
ADDRESS OF PERSON SIGNING 5230 Lakeshore Road, R.R. #3, Port Hope,
Ontano L1A 3V7

SIGNATURE

DATE

19th February, 200

BERESKIN & PARR

958856177

P.04

BOL 401, 40 KING STREET WEST, TORONTO, CANADA MSH 372 PRIONE (418) 364-7311 - FAX (416) 361-1338 - WWW.BERESKINPARR (238

FEB 2 0 2021 #12/88/01A (19:00)

Approved for use through 14 2002 ON 8 0551-0032

U.S. Patient and Trademark Office; U.S. DEFAR WENT OF COMMERCE

Under the Paperson: Reduction Act of 1936, no persons are required to respond to a connection of information unless it graphs as walk (Management) number.

## DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

As the pelow named inventor(a), l/we deplare that.							
This declaration is	The strached application, or	FEB 2 0 2001					
	✓ Application No 09/695,059	filed on October 125/12000					
_ a	s amended on						
	I/we nelieve mat I/we am/are the original and first inventor(\$) of the subject matter which is disimod and for which a patent is sought;						
If we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;							
to me/us to be became available date of the contin	l/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the phor application and the National or PCT International filing date of the continuation-in-part application, if applicable; and						
cellet are pelieve false statements	All statements made herein of my/own knowledge are true, all statements made herein on information and belief are pelieved to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may judpardize the validity of the application or any potent issuing thereon.						
FULL NAME OF	INVENTOR(S)						
inventor one:	Christopher K. Thomas						
Signature:		Citizen of: CANADA					
inventor two:	1949 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -						
Signature:		Citizen of:					
Inventor three	All the state of t						
Signature.		Citizen of:					
Inventor four							
Signature		Crizen of.					

accusional form(s) attached hereto Additional inventors are being named on Burden mour Statement This collection of information is required by 35 u S C 115 and 37 CFR 1 83. The information is used by the public to fine (and the PYO button from statisfiers into concerns an internation is required by 35 0 5 0 112 and 37 CFR 1 14 This form is estimated to take 17 into face with very depending upon the needs of the individual case. Any comments on the amount of time you are required to take 1 into face of the individual case. Any comments on the amount of time you are required to complete this form should be cent to the Chief information Official, U.S. Patent and Trademark Official Washington DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commencioner for Patents, Washington, DC 20231.